



IAIM/RBC Fee Schedule

Retirement Account and Savings Accounts Fees:

Traditional IRA, Roth, SIMPLE, SEP, SARSEP	\$50.00 Annual Fee	\$120.00 Termination Fee
Solo 401k Set up Fee		\$200.00
Solo 401k Plan Fee	\$150.00 Annual Fee	Prorated Annual Fee
Custodial Qualified Plan Set Up Fee		\$25.00
Custodial Qualified Plans	\$75.00 Annual Fee	
Re-Characterization Fee (Roth to Traditional IRA)		\$0.00
Conversion Fee (Traditional IRA to Roth)		\$0.00

Non-Retirement Account Annual Fees:

Annual Account Fee	\$35.00
Green Fee **	\$65.00

Transfer on Death Accounts:

Account Setup Fee	\$50.00
Update to TOD Beneficiaries Fee	\$30.00
Account Distribution Fee	0.10%

Asset Management Accounts:

Investment Access		\$125.00
Additional Debit Cards (After 2)		\$10.00
Overnight Delivery (Checks or Debit Cards)		\$25.00
Check Re-order (per box)		\$16.00
Retirement Check writing	\$0.00 including checks	

Cashiering & Credit Processing Requests:

Domestic Bank Wire	\$30.00
Foreign Bank Wire	\$75.00
Overnight Check	\$25.00
Stop Payment	\$20.00
Returned Check	\$30.00
Extensions	\$20.00
Pre-payment Fee	Interest Charged
Account Pledge	\$200.00
Mailgrams	\$5.00

Trade Related Charges:

Please contact your Investment Professional for details on the following:

Service Fee	
Foreign Trade Surcharge (USD Settlements)	
Foreign Execution Fee (Local Currency Settlements)	Country Specific
Foreign Currency Exchange Fee	

Mutual Funds:

No-Load Transaction Fee	\$25.00
Mutual Fund Exchanges (sell side only)	\$10.00
PIP's	\$2.50
SWP's	\$2.50

Alternative Investments:

Limited Partnerships, Limited Liability Partnerships, Non- traded REIT's, and Private Placements are subject to the following per position and account fees.

Transaction Fee	\$125.00
Maintenance Fee	\$75.00 Annually

Requests for Duplicate Documents (paper copies):

Statements	\$1.25
Confirms	\$1.25
Tax Documents	\$1.25

Abandoned Property Accounts:

Escheatment	\$125.00
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Physical Certificates:

Physical Certificate Reject Fee	\$200.00
Physical Certificate (Non-DRS and DRS Eligible Securities)	\$600.00
Safekeeping Fee	\$50.00

Re-Orgs:

Voluntary Physical Reorg	\$45.00
Voluntary Non-Physical Reorg	\$40.00
Dividends & Reorgs – Coupons Received for Collection	\$10.00
Dividends & Reorgs – Charge for late Instructions on Expiring Items	\$15.00

Security Transfers:

Legal Deposit/Transfer	\$60.00
IRA Outgoing Account Transfer	\$120.00
Non-IRA Outgoing Account Transfer	\$155.00
Outgoing Partial Transfer	\$25.00
International Security Transfer	\$140.00
DRS Transfer and Ship	\$25.00
Non-DRS Transfer and Ship	\$500.00
Deposit-Withdrawal at Custodian (DWAC) Fee	\$25.00 - \$150.00
Rule 144/Restricted Stock Deposit Fee	\$500.00
Free Delivery Fee	\$50.00
Non-Transferrable Security Custody	\$2.00 per security, per month

Performance Reporting and Account Aggregation (non-managed accounts):

Annual Performance Reporting and Account Aggregation Fee	\$50.00 per account
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* Please note that the above listed fees are not all inclusive and are subject to change. For further details please speak with your Investment Professional.

** Fee is waived for accounts enrolled in electronic delivery, Margin accounts with a loan balance, household AUM greater than \$100k, Investment Access, RBC managed account services