



# IAA/RBC Fee Schedule

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## Retirement Account and Savings Accounts Fees:

Traditional IRA, Roth, SIMPLE, SEP, SARSEP	<b>\$50.00 Annual Fee</b>	<b>\$120.00 Termination Fee</b>
Solo 401k Set up Fee		<b>\$200.00</b>
Solo 401k Plan Fee	<b>\$150.00 Annual Fee</b>	<b>Prorated Annual Fee</b>
Custodial Qualified Plan Set Up Fee		<b>\$25.00</b>
Custodial Qualified Plans	<b>\$75.00 Annual Fee</b>	
Re-Characterization Fee (Roth to Traditional IRA)		<b>\$0.00</b>
Conversion Fee (Traditional IRA to Roth)		<b>\$0.00</b>

## Non-Retirement Account Annual Fees:

Annual Account Fee	<b>\$35.00</b>
Annual Inactive Account Fee	<b>\$75.00</b>
Green Fee **	<b>\$65.00</b>

## Transfer on Death Accounts:

Account Setup Fee	<b>\$50.00</b>
Update to TOD Beneficiaries Fee	<b>\$30.00</b>
Account Distribution Fee	<b>0.10%</b>

## Asset Management Accounts:

Investment Access	<b>\$125.00</b>
Additional Debit Cards (After 2)	<b>\$10.00</b>
Overnight Delivery (Checks or Debit Cards)	<b>\$25.00</b>
Check Re-order (per box)	<b>\$16.00</b>
Retirement Check writing	<b>\$0.00 including checks</b>

**Cashiering & Credit Processing Requests:**

Domestic Bank Wire	\$30.00
Foreign Bank Wire	\$75.00
Overnight Check	\$25.00
Stop Payment	\$20.00
Returned Check	\$30.00
Extensions	\$20.00
Pre-payment Fee	Interest Charged
Account Pledge	\$200.00
Mailgrams	\$5.00

**Trade Related Charges:**

Please contact your Investment Professional for details on the following:

Service Fee	
Foreign Trade Surcharge (USD Settlements)	
Foreign Execution Fee (Local Currency Settlements)	Country Specific
Foreign Currency Exchange Fee	

Mutual Funds:

No-Load Transaction Fee	\$25.00
Mutual Fund Exchanges (sell side only)	\$10.00
PIP's	\$2.50
SWP's	\$2.50

**Alternative Investments:**

Limited Partnerships, Limited Liability Partnerships, Non- traded REIT's, and Private Placements are subject to the following per position and account fees.

Transaction Fee	\$125.00
Maintenance Fee	\$75.00 Annually

**Requests for Duplicate Documents (paper copies):**

Statements	\$1.25
Confirms	\$1.25
Tax Documents	\$1.25

**Abandoned Property Accounts:**

Escheatment	\$125.00
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**Physical Certificates:**

Physical Certificate Reject Fee	\$200.00
Physical Certificate (Non-DRS and DRS Eligible Securities)	\$600.00
Safekeeping Fee	\$50.00

**Re-Orgs:**

Voluntary Physical Reorg	\$45.00
Voluntary Non-Physical Reorg	\$40.00
Dividends & Reorgs – Coupons Received for Collection	\$10.00
Dividends & Reorgs – Charge for late Instructions on Expiring Items	\$15.00

**Security Transfers:**

Legal Deposit/Transfer	\$60.00
IRA Outgoing Account Transfer	\$120.00
Non-IRA Outgoing Account Transfer	\$155.00
Outgoing Partial Transfer	\$25.00
International Security Transfer	\$140.00
DRS Transfer and Ship	\$25.00
Non-DRS Transfer and Ship	\$500.00
Deposit-Withdrawal at Custodian (DWAC) Fee	\$25.00 - \$150.00
Rule 144/Restricted Stock Deposit Fee	\$500.00
Free Delivery Fee	\$50.00
Non-Transferrable Security Custody	\$2.00 per security, per month

**Performance Reporting and Account Aggregation (non-managed accounts):**

Annual Performance Reporting and Account Aggregation Fee	\$50.00 per account
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\* Please note that the above listed fees are not all inclusive and are subject to change. For further details please speak with your Investment Professional.

\*\* Fee is waived for accounts enrolled in electronic delivery, Margin accounts with a loan balance, household AUM greater than \$100k, Investment Access, RBC managed account services